

#### **COMBINED DECLARATION FOR PATENT APPLICATION** AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or  $\underline{X}$  was filed on  $\underline{May 25, 2002}$  as Application Serial No.  $\underline{09/866,034}$ and was amended on (if applicable)

and mad amonada on	(ii applicable).			
I hereby state that I hav amended by any amen	e reviewed and u dment referred to	understand the content of above.	nts of the above-identified specification, inc	luding the claims, as
	ations, Section 1	.56.	to the examination of this application in acc	
I hereby claim foreign p inventor's certificate list filing date before that o	ed below and nav	'e also identified below	ates Code, §119(a)-(d) of any foreign applic any foreign application for patent or invento aimed:	ation(s) for patent o
Prior Foreign Application	on(s):			Priority Claimed Yes No
Number ##	Country	Day/Month/Y	ear Filed	ONLINE TO THE OWNER OF THE OWNER OWNER.
I heteby claim the benefi See Attached Append			19(e) of any United States provisional applica	ations(s) listed below
Application Ser. No.		Filing Date		
as trie subject matter of a provided by the first para	each of the claim: Igraph of Title 35 e of Federal Regi	s of this application is r , United States Code, { ulations, §1.56 which d	20 of any United States applications(s) listent for disclosed in the prior United States applications and the states applications are stated as a possible for the prior of th	cation in the manner
See Attached Append	x B (listing 10 F	PCT Patent Application	ons)	
Application Ser. No.		Filing Date	Status: Patented, Pending, Ab	andoned
Application Ser. No.		Filing Date	Status: Patented Pending Ah	andoned







**POWER OF ATTORNEY**: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

**1 | 1 | 1 | 1 |** 09157

PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Mark T. Kresnak, Ph.D. Telephone: (650) 225-4461

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Full mame of sole or first inventor	
David Botstein	
Inventor's signature	8-1-
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Citizenship	
US# Lab	
Post Office Address	
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*, <u>*</u>	
Full pame of second joint inventor, if any	
Luc Desnoyers	
Inventor's signature	Date
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Post Office Address	
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Full name of third joint inventor, if any	
Napoleone Ferrara	
Napoleone Perrara	
Inventor's signature	
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Post Office Address	
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Full name of fourth joint inventor, if any

Sherman Fong	
Inventor's signature	Date
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Full name of fifth joint inventor, if any	
Wei-Qiang Gao	
Inventor's signature	Date
Residence 64 Pilgrim Drive, Foster City, California 94404	
Citizenship CN	
Post Öffice Address  1 DNA Way  South San Francisco, CA 94080	
Full name of sixth joint inventor, if any	
Audrey Goddard	
Inventor's signature	Date
Residence 110 Congo Street, San Francisco, California 94131	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of seventh joint inventor, if any	
Austin L. Gurney	
Inventor's signature	Date
Residence 1 Debbie Lane, Belmont, California 94002	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	





Full name of eighth joint inventor, if any James Pan Inventor's signature Date Residence 2705 Coronet Boulevard, Belmont, California 94002 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any Margaret Ann Roy Inventor's signature Date Residence 2960 Webster Street #4, San Francisco, California 94123 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of tenth joint inventor, if any Timothy A. Stewart Inventor's signature Date Residence 465 Douglass Street, San Francisco, California 94114 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any **Daniel Tumas** Inventor's signature Date Residence 3 Rae Avenue, Orinda, California 94563 Citizenship US

Post Office Address 1 DNA Way

South San Francisco, CA 94080





## Full name of twelfth joint inventor, if any

Colin K. Watanahe

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Inventor's signature	Date
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128 Corliss Drive, Moraga, California 94556	
Citizenship	
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Post Office Address 1 DNA Way	
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Full name of thirteenth joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence	
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Citizenship	
US it is	
Post Office Address	
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South San Francisco, CA 94080	
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#### **APPENDIX A**

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

Serial No. 60/095,325 filed August 4, 1998;

Serial No. 60/112,851 filed December 16, 1998;

Serial No. 60/113,145 filed December 16, 1998;

Serial No. 60/113,511 filed December 22, 1998;

Serial No. 60/115,558 filed January 12, 1999;

Serial No. 60/115,565 filed January 12, 1999;

Serial No. 60/115,733 filed January 12, 1999;

Serial No. 60/119,341 filed February 9, 1999;

Serial No. 60/119,537 filed February 10, 1999;

Senal No. 60/119,965 filed February 12, 1999;

Serial No. 60/162,506 filed October 29, 1999;

Serial No. 60/170,262 filed December 9, 1999;

Serial No. 60/187,202 filed March 3, 2000.

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I hereby claim the benefit under Title 35, United States Code, §120 of any United States and PCT applications listed below:

Serial No. PCT/US99/12252 filed June 2, 1999;

Serial No. PCT/US99/28634 filed December 1, 1999;

Serial No. PCT/US99/28551 filed December 2, 1999;

Serial No. PCT/US00/03565 filed February 11, 2000;

Serial No. PCT/US00/04414 filed February 22, 2000;

Serial No. PCT/US00/05841 filed March 2, 2000;

Serial No. PCT/US00/08439 filed March 30, 2000;

Serial No. PCT/US00/14941 filed May 30, 2000;

Serial No. PCT/US00/15264 filed June 2, 2000;

And And

Serial No. PCT/US00/32678 filed December 1, 2000.





Status: Patented, Pending, Abandoned

## COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

Application Ser. No.

My residence, post office address and citizenship are as stated below next to my name,

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the specification of which (check one)  $_{\perp}$  is attached hereto or  $_{\perp}$  was filed on  $_{\parallel}$  was filed on  $_{\parallel}$  as Application Serial No.  $_{\parallel}$  09/866,034 and was amended on  $_{\perp}$  (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

lacknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a

filing date before that of the application on which priority is claimed: Prier Foreign Application(s): **Priority Claimed** Yes No £ .k Number Country Day/Month/Year Filed I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below: See Attached Appendix A (listing 13 U.S. Provisional Patent Applications) Application Ser. No. Filing Date I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: See Attached Appendix B (listing 10 PCT Patent Applications) Application Ser. No. Filing Date Status: Patented, Pending, Abandoned

Filing Date





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Full name of sole or first inventor	
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Lue Đesnoyers	a lada
Inventor's signature	08/14/2001 Date
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Post Office Address	
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Full name of third joint inventor, if any	
Napoleone Ferrara	
Inventor's signature	Date
Residence	
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#### Full name of fourth joint inventor, if any

South San Francisco, CA 94080

Tantano di todiai jami mondi, il any	
Sherman Fong	
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Post Office Address	
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Full name of lifth joint inventor, if any	
Wei-Qiang Gao	
Inventor's signature	Date
E où	
Residence	
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Citizenship	
CN	
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Full-name of sixth joint inventor, if any	
∯ si⊨ Audrey Goddard	•
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Inventor's signature	Date
Residènce	
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Citizenship	
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Full name of seventh joint inventor, if any	
Austin L. Gurney	
Inventor's signature	Date
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Citizenship	
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## Full name of eighth joint inventor, if any

James Pan	,
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Full name of ninth joint inventor, if any	
Margaret Ann Roy	
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Citizenship US	
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Full name of tenth joint inventor, if any  Timothy A. Stewart	
Inventor's signature	Date
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of eleventh joint inventor, if any	
Daniel Tumas	
Inventor's signature	Date
Residence 3 Rae Avenue, Orinda, California 94563	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	



Full name of twelfth joint inventor, if any

Colin K. Watanabe

Inventor's signature	Date
Residence	
128 Corliss Drive, Moraga, California 94556	
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Full name of thirteenth joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence	
35 Southdown Court, Hillsborough, California 94010	
Citizenship	
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Post Office Address	
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South San Francisco, CA 94080	
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Prior Foreign Ap	plication(s):			Priority Claimed Yes No
Number	Country	Day/Month/	ear Filed	монимент на предостивность по общение на предостивности на предостивность по предоставляем на предоставления н Предостивность на предостивность на предоставления на предоставления на предоставления на предоставления на пре
See Attached A	ppendix A (listing 13		19(e) of any United States provision	onal applications(s) listed below
Application Ser.	No.	Filing Date		
as the subject ma provided by the fi defined in Title 3	atter of each of the clair rst paragraph of Title 3	ns of this application is 5, United States Code, gulations, §1.56 which	120 of any United States applicat not disclosed in the prior United S §112, Lacknowledge the duty to occurred between the filing date	States application in the manner disclose material information as
See Attached A	ppendix B (listing 10	PCT Patent Applicat	ions)	
Application Ser.		Filing Date		Pending, Abandoned
Application Ser.	No.	Filing Date	Status: Patented, F	Pending, Abandoned





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Full name of sole or first inventor	
David Botstein	
Inventor's signature	Date
Residence	
2539 Somerset Drive, Belmont, California 94002	
Citizenship	
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Post Office Address	тир жирин одногориция ранинализматирования « пороженення выправления порожения выправления выправления выправл В применення в применення выправления в применення в примененн
1 DNA Way	*
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Full-name of second joint inventor, if any	
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Full name of third joint inventor, if any	
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#### Full name of fourth joint inventor, if any

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Full name of fifth joint inventor, if any	
Wei-Qiang Gao	
Inventor's signature	Date
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Citizenship CN	
Post Office Address	
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Sooth San Francisco, CA 94080	
Full_name of sixth joint inventor, if any	
Audrey Goddard	
Inventor's signature	Date
Residence	
119 Congo Street, San Francisco, California 94131	
Citizenship CA	
Post Office Address	
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Full name of seventh joint inventor, if any	
Austin L. Gurney	
Inventor's signature	Date
Residence	
l Debbie Lane, Belmont, California 94002	
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## Full name of eighth joint inventor, if any

James Pan

Inventor's signature	Date	
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Citizenship		-
CA		
Post Office Address		
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South San Francisco, CA 94080		
Full name of ninth joint inventor, if any		***************************************
Margaret Ann Roy		
Inventor's signature	Date	<b>~~~~</b>
	Date	
Residence		*********
2960 Webster Street #4, San Francisco, California 94123		
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Post Office Address		-
1 DNA Way South San Francisco, CA 94080		
Full name of tenth joint inventor, if any		
Timothy A. Stewart		
Inventor's signature		
nvanior a signature	Date	
Residence		**********
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Full name of eleventh joint inventor, if any		
Daniel Tumas		
Inventor's signature	Date	ACCURATION OF
Residence		
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US		
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#### Full name of twelfth joint inventor, if any

Colin K. Watanabe	
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William I. Wood	
Inventor's signature	Date
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71				
hereby claim fore	eign priority benefits	under Title 35, United S	tates Code, §119(a)-(d) of any foreign application(s) for pate	ent or
meginor a cermica	rre noted below \$110 U	iave also identified beloi	Wanvioreign application for patent or inventor's continues b	iave a
illing date belote	mai or the application	on on which priority is c	aimed:	
Prior Foreign App	lication(s):		D: 10 00 1	
			Priority Clain	
			Yes No	<u>)                                    </u>
Number	Country	Day/Month/	Year Filed	American State of the State of
			•	
I hereby claim the t	penefit under Title 35	, United States Code, §1	19(e) of any United States provisional applications(s) listed b	elow:
8 t.f				
<u>See Attached Ap</u> Application Ser. N	pendix A (listing 1	3 U.S. Provisional Pat	ent Applications)	
Application Set. IV	10.	Filing Date		
hereby claim the	benefit under Title 39	5 United States Code S	100 of a multi-ity of the second secon	
as the subject mat	ter of each of the clai	ims of this application is	120 of any United States applications(s) listed below and, in not disclosed in the prior United States application in the ma	ısofar
provided by the fire	st paragraph of Title	35 United States Code	§112. Lacknowledge the duty to disclose material informati	anner
defined in Title 37	, Code of Federal Re	egulations, 81 56 which	occurred between the filing date of the prior application an	on as
national or PCT in	nternational filing da	te of this application:	observed between the filing date of the phor application an	ia tne
See Attached Ap	pendix B (listing 1	0 PCT Patent Applicat	ions)	
Application Ser. N	lo.	Filing Date	Status: Patented, Pending, Abandoned	
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David Botstein		
Inveritor's signature	Date	
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Citizenship		
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Post Office Address		arrenentet recressió
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<u> </u>		
Full name of second joint inventor, if any		
Lue Desnoyers		
Inventor's signature	Date	
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Full name of third joint inventor, if any		
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### Full name of fourth joint inventor, if any

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Full name of fifth joint inventor, if any	
Wei-Qiang Gao	
Inventor's signature	Date
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Fulfname of sixth joint inventor, if any	
Audrey Goddard	
Inventor's signature	Date
8 1 2 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
Residence	
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Citizenship CA	
Post Office Address 1 DNA Way	
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ull name of seventh joint inventor, if any	
Austin L. Gurney	
nventor's signature	Date
	Said
Residence	
1 Debbie Lane, Belmont, California 94002	
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#### Full name of eighth joint inventor, if any

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Inventor's signature	Date
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Citizenship CA	
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Full name of ninth joint inventor, if any	
Margaret Ann Roy	
Inventor's signature	Date
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Timothy A. Stewart	
Inventor's signature	Date
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Full name of eleventh joint inventor, if any	
Daniel Tumas	
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## Full name of twelfth joint inventor, if any

#### Colin K. Watanabe

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mventor s signature	Date
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Citizenship US	
Post Office Address	
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Full name of thirteenth joint inventor, if any	
William I. Wood	
Inventor's signature	Date
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Residence	
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Citizenship	
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Post Office Address	
1 DNA Way South San Francisco, CA 94080	
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Full game of second joint inventor, if any	
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### Full name of fourth joint inventor, if any

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### Full name of eighth joint inventor, if any

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Daniel Tumas	
Inventor's signature	Date
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#### Full name of twelfth joint inventor, if any

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### Full name of eighth joint inventor, if any

James Pan

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Full name of ninth joint inventor, if any	
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#### Full name of twelfth joint inventor, if any

Colin K. Watanabe

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Status: Patented, Pending, Abandoned

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Application Ser. No.

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Full name of eighth joint inventor, if any

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See Attached Appen			ons)			
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DOT:	ational filing date	of this application:				
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as the subject matter of	it each of the claim:	s of this application is n	of disclosed in the	prior United States	annlication in	tha mannar
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Number	Country	Day/Month/Ye	ear Filed	interest and control on a control of the control of	The state of the s	WWW.interference and the control of
enter de la companya					Yes	No
Prior Foreign Applicat	ion(s):				Priority	Claimed
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inventor's certificate lis	sted below and hav	e also identified below	any foreign applica	ation for patent or in	nventor's certifi	cate have a
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Full name of fourth joint inventor, if any Sherman Fong Inventor's signature Date Residence 19 Basinside Way, Alameda, California 94502 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of lifth joint inventor, if any Wei-Qiang Gao Inventor's signature Date Residence 64t Pilgrim Drive, Foster City, California 94404 Citizenship CN Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of sixth joint inventor, if any Audrey Goddard Inventor's signature Date Residence 1 O Congo Street, San Francisco, California 94131 Citizenship CA Post Office Address

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South San Francisco, CA 94080

#### Full name of seventh joint inventor, if any

Austin L. Gurney

Inventor's signature

Date

#### Residence

1 Debbie Lane, Belmont, California 94002

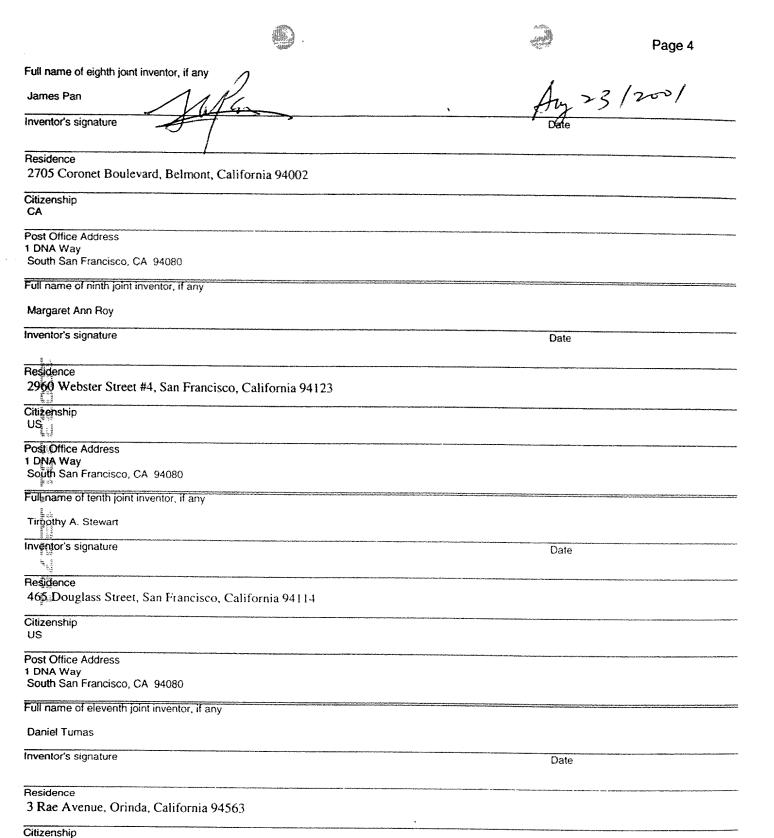
#### Citizenship

US

#### Post Office Address

1 DNA Way

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Colin K. Watanabe

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Full name of thirteenth joint inventor, if any	
William I. Wood	
Inventor's signature	Date
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Residence	
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Docket No. P2930R1C1



As a below named inventor, I hereby declare that:

Application Ser. No.

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My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

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Status: Patented, Pending, Abandoned

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Full name of sole or first inventor	
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Daver Dotstein	
Invebtér's signature	Date
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Luc Deshoyers	
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South San Francisco, CA 94080

James Pan Inventor's signature Date Residence 2705 Coronet Boulevard, Belmont, California 94002 Citizenship ÇA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any Margaret Ann Roy Inventor's signature Residence 2960 Webster Street #4, San Francisco, California 94123 Citizeriship usli Post Office Address
1 DNA Way South San Francisco, CA 94080 Full name of tenth joint inventor, if any Timothy A. Stewart Inventor's signature Date 465 Douglass Street, San Francisco, California 94114 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any Daniel Tumas Inventor's signature Date Residence 3 Rae Avenue, Orinda, California 94563 Citizenship US Post Office Address 1 DNA Way





## Colin K. Watanabe

Inventor's signature	Date
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Full name of thirteenth joint inventor, if any	
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Inventor's signature	Date
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Residence	
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David Botstein		
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Citizenship US <sup># 7 8</sup>		<del>alle Callento</del> aer annecesa secretos secretos especiales de procesa de partir de la care a un secreto de la care de la c
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Post Office Address		
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Full name of third joint inventor, if any		
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Sherman Fong

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James Pan

Inventor's signature	Date
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Citizenship CA	
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Inventor's signature	Date
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Citizenship	
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Full name of tenth joint inventor, if any Timothy A. Stewart	
Invertior's signature	Date 9.24.01
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Citizenship US	
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Full name of eleventh joint inventor, if any	
Daniel Tumas	
Inventor's signature	Date
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Citizenship US	
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# Colin K. Watanabe

Inventor's signature	
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Full name of thirteenth joint inventor, if any	
William I. Wood	
Inventor's signature	Date
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Foreign Application(s):

Priority Claimed Yes No

Number Country Day/Month/Year Filed

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Application Ser. No. Filing Date

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Full-name of sole or first inventor		
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David Botstein		
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Inventor's signature	Date	MANAGEST SECTION
Residence 465 Douglass Street, San Francisco, California 94114		***************************************
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Full name of eleventh joint inventor, if any  Daniel Tumas		Ministration Committee Com
Inventor's signature  Mill (1117)	Date 8-16 av l	
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Inventor's signature	Date
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	Colin	K.	Watanabe
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Inventor's signature Lolin K Watruck	Date Aug 14, 2001
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Docket No. P2930R1C1

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I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

# SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one)  $_{\cdot}$  is attached hereto or  $_{\cdot}$  was filed on  $_{\cdot}$  was filed on  $_{\cdot}$  as Application Serial No.  $_{\cdot}$  09/866,034 and was amended on  $_{\cdot}$  (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or

inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed: Prior Foreign Application(s): **Priority Claimed** Yes No Number Country Day/Month/Year Filed Théreby claim the benefit under Title 35, United States Code. §119(e) of any United States provisional applications(s) listed below: See Attached Appendix A (listing 13 U.S. Provisional Patent Applications) Application Ser. No. Filing Date I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: See Attached Appendix B (listing 10 PCT Patent Applications) Application Ser. No. Filing Date Status: Patented, Pending, Abandoned Application Ser. No. Filing Date Status: Patented, Pending, Abandoned





**POWER OF ATTORNEY**: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

09157

PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Mark T. Kresnak, Ph.D. Telephone: (650) 225-4461

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

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